

STAR Attestation

Registry Entry Form

The information below is provided as a companion to the CSA STAR Attestation.

Organization Name **Organization URL**

Cloud Service Business Description (200 words or less)

Saxo is an award-winning investment firm that is trusted by more than 876,000 clients worldwide. Saxo's technology helps companies of all sizes to connect to global exchanges by providing the leading online trading platform which powers 200+ banks and brokers and 400+ financial intermediaries. Saxo's technology helps companies of all sizes to connect to global exchanges. Banks, brokers, financial advisors, fintechs and other partners use Saxo's capital markets solutions to provide trading and investment services to their clients.

Scope and Applicable Trust Service Principles and Criteria

Saxo Bank has implemented a system of controls to address the security, availability, confidentiality and privacy Trust Services Principles as well as the control specifications included in the Cloud Security Alliance (CSA) Cloud Controls Matrix (CCM) version 4.0.6

Verify CCM Version Used ☐ Version 3.0.1 ☒ Version 4.0

Attestation Period (MM/DD/YYYY) From To

Attestation Country **CPA Firm Used**

*All STAR Attestation Assessors must be CCSK Certified through CSA. Email address will be used for CCSK validation purposes only and will not be retained or disclosed.